



*Annual Performance Report Form*

**Facility Name:** Heidelberg Web Systems

**Performance Track ID #:** A050002

**Annual Performance Report #:** 1

**Reporting Year:** 2001

**Due Date:** April 1<sup>st</sup>, 2002

## Section A

# General Facility Information

To the extent possible, EPA will pre-complete items A.1-A.8 for you. Please ensure that the information in A.1-A.8 below is accurate, complete, and up to date. Please supply or revise any information as necessary and then check the box to the left of the item(s) to indicate where changes have been made. Items A.9 and A.10 cannot be pre-completed; please respond as directed in A.9 and A.10 below.

*Did you make changes? If so, check box.*

- A.1 ☐ Name of your facility: Heidelberg Web Systems
- A.2 ☐ Name of your parent company: Heidelberger Druckmaschinen
- A.3 ☐ Facility contact person for the Performance Track program:  
Name: Mr./Mrs./Ms./Dr. Mr. Tom Day  
Title: EHS Specialist  
Phone: 937 278 2651 Fax: 937 274 5719 E-mail: thomas.day@us.heidelberg.com
- A.4 ☐ Facility's location:  
Street Address: 4900 Webster St.  
Street Address (cont.):  
City/State/Zip Code: Dayton, Ohio, 45414
- A.5 ☐ Facility's website address (if any):
- A.6 ☐ Number of employees (full-time equivalents) who currently work in the facility: 200
- A.7 ☐ Does your company meet the Small Business Administration definition of a small business for your sector? ☐ Yes ☒ No
- A.8 ☒ North American Industrial Classification System (NAICS) Code(s) that are used to classify business at the facility: 333293 333313 \_\_\_\_\_
- A.9 ☒ In your application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any (additional) changes to your facility's list of products and/or activities? If so, please list them in the space below. ☒ Yes ☐ No  
It should be noted that the Heidelberg Dayton facility will be closed during 2002 for business consolidation. This will be the last report submitted from the Dayton facility. A letter has been submitted to Region 5 to have us removed from the program.
- A.10 ☐ Please update the list of environmental requirements that apply to your facility. In the space below, indicate any changes that have taken place during this reporting period. If you have no changes to report, please write "No changes."  
No Changes Apply

## Section B

# Environmental Management System

**B.1 Environmental Management System Assessment.** Please summarize EMS assessments conducted *during the year*. Attach additional sheets as necessary.

a. Was an EMS audit or other assessment done by an independent third party?

☒ Yes ☐ No

If yes, please provide the *type* (e.g., ISO 14001 certification), the *scope*, and the *dates* (mo/yr) of each assessment.

ISO 14001 Surveillance Audit 2/01, Standard Elements reviewed were: 4.3.2 Legal and Other Requirements, 4.3.4 Environmental Management Programs, 4.4.3 Communications, 4.4.6 Operational Control, 4.5 Monitoring and Measurement, 4.5.2 Corrective and Preventative Action, 4.5.4 Env. System Audit, 4.6 Management Review.

ISO 14001 Surveillance Audit 8/01, Standard Elements reviewed were: 4.3.1 Environmental Aspects, 4.3.4 Environmental Management Programs, 4.4.1 Structure and Responsibility, 4.4.2 Training, Awareness and Competence, 4.5.2 Corrective and Preventative Action, 4.5.4 Env. System Audit, 4.6 Management Review.

b. Was an internal or corporate EMS audit conducted? ☒ Yes ☐ No

If yes, please provide the *scope* and the *dates* (mo/yr) of each audit.

In 7/01 a representative from Heidelberg Corporate (Karl Petersen) came from Germany, with members from two other facilities to do a complete system audit.

Internal audits are conducted monthly by a six member internal audit team. All ISO 14001 elements are covered a minimum of once yearly.

c. Was a compliance audit conducted? ☒ Yes ☐ No

If yes, please provide the *scope* and the *dates* (mo/yr) of each audit, and indicate *who* conducted the audit(s) (e.g., facility staff, corporate groups, third party).

Greg Hemker of EHS Technology a 3<sup>rd</sup> party, (consulting firm) performed a compliance audit in 5/01.

d. (Optional) If you would like to describe any other audits or inspections that were conducted at your facility, please do so here.

Well Field protection Audit is performed annually 12/01.

Quarterly Management Reviews 2/01, 5/01, 8/01, 12/01.



## Section B

(continued)

### B.1

e. Briefly summarize corrective actions taken and other improvements made as a result of your EMS assessments and compliance audits.

Plans were put into place to greater scrutinize our Hazardous Waste vendor, concerning the turnaround of Manifest paperwork.

Additional wood recycling now occurs.

Added checklists for Machine Pit closures and Machine Pit construction.

Improved communication concerning the use of our corrective action system.

Implemented new training software for ease of access to training records and scheduling of new or required training needs.

f. Has your facility corrected all instances of potential non-compliance and EMS non-conformance identified during your audits and other assessments?

☒ Yes ☐ No ☐ No such instances identified

If no, please explain your plans to correct these instances.

g. When was the last Senior Management review of your EMS completed? *mo/yr* 12/01

Who headed the review?

Name: Mr./Mrs./Ms./Dr. Mr. Dick Long

Title: V.P. Operations

# Section B

(continued)

**B.2 ISO 14001 Certification.** Is your facility currently certified to ISO 14001? ☒ Yes ☐ No

**B.3 Environmental Aspects Identification.** When did your facility last conduct a systematic identification and/or review of your environmental aspects? *mo/yr* 05/01

**B.4 Progress Toward Achieving Objectives and Targets.** In the table below, please provide a narrative summary of progress made toward EMS objectives and targets. **You may limit the summary to environmental aspects that are *significant* and towards which *progress* has been made during the *reporting year*.** In cases where progress relates specifically to a Performance Track performance commitment, complete the *Environmental Aspect* column, but in the *Progress* column simply refer to the performance commitment tables in Section C, i.e. "See Section C." Attach additional sheets as necessary.

<i>Environmental Aspect</i>	<i>Progress Made This Year</i> (e.g., quantitative or qualitative improvements, activities conducted)
C.2	The quantity calculations have been changed from our original application from cubic feet of lumber per million dollar gross sales to total cubic feet used. It is physically impossible to change this to a mass calculation at this time. It was not intended to be a mass calculation therefore no weights were ever acquired, only volumes.
C.3	The quantity calculations on application were estimates. The quantities on annual report are actual.
C.4	The quantities on application were estimated. The quantities on annual report are actual. The numbers are from annual Wellfield Protection inventory.

## Section C

# Environmental Performance Commitments

Please use the tables on pages 6-9 to summarize your facility's environmental performance against your Performance Track performance commitments. Complete only those boxes related to the baseline, current year, and performance commitment. If any of the boxes have been pre-completed for you, please verify the information. If you find information that is incorrect, cross it out and write in the correct information. **Leave blank any columns for future reporting years.**

### C.1

#### Performance Commitment 1

a. Use this table to report data related to your first performance commitment.

**Category** (see page 16 of the instructions): Energy Use

**Aspect** (see page 16 of the instructions): Total Energy Use

	<i>Baseline (as stated in your application)</i>	<i>Year 1</i>	<i>Year 2</i>	<i>Year 3</i>	<i>Performance Commitment (the goal stated in your application)</i>
<i>Calendar Year</i>	1999	2001			2003
<i>Actual Quantity (per year)</i>	9,671,586	7,100,006			(optional)
<i>Measurement Units</i>	KWh				
<i>Normalizing Factor*</i>	1.0	1.02			(optional)
<i>Basis for your Normalizing Factor*</i>	Tons of product shipped				
<i>Normalized Quantity* (per year)</i>	9,671,586	6,960790			9,188,007

\*See pages 17-19 of the instructions for more information

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

The entire facility was relamped with energy efficient fixtures. Automatic controls were implemented where practical.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g., Energy Star, Project XL).

# Section C

(continued)

## C.2

### Performance Commitment 2

a. Use this table to report data related to your second performance commitment.

<b>Category</b> (see page 16 of the instructions): Materials Use					
<b>Aspect</b> (see page 16 of the instructions): Total Materials Use					
	<i>Baseline (as stated in your application)</i>	<i>Year 1</i>	<i>Year 2</i>	<i>Year 3</i>	<i>Performance Commitment (the goal stated in your application)</i>
<i>Calendar Year</i>	1999	2001			2003
<i>Actual Quantity (per year)</i>	29,156	12,062			(optional)
<i>Measurement Units</i>	Cubic Feet				
<i>Normalizing Factor*</i>	1.0	1.02			(optional)
<i>Basis for your Normalizing Factor*</i>	Tons of product shipped				
<i>Normalized Quantity* (per year)</i>	29,156	11,825			26,240
*See pages 17-19 of the instructions for more information					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Customers were asked to return large custom made crates, boxes, and crate bases for reuse. We achieved nearly 100% customer returns.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g., Energy Star, Project XL).



# Section C

(continued)

## C.3 Performance Commitment 3

a. Use this table to report data related to your third performance commitment.

<b>Category</b> (see page 16 of the instructions): Materials Use					
<b>Aspect</b> (see page 16 of the instructions): Total Materials Use					
	<i>Baseline (as stated in your application)</i>	<i>Year 1</i>	<i>Year 2</i>	<i>Year 3</i>	<i>Performance Commitment (the goal stated in your application)</i>
<i>Calendar Year</i>	1999	2001			2003
<i>Actual Quantity (per year)</i>	518	408			(optional)
<i>Measurement Units</i>	Tons				
<i>Normalizing Factor*</i>	1.0	1.02			(optional)
<i>Basis for your Normalizing Factor*</i>	Tons of product shipped				
<i>Normalized Quantity* (per year)</i>	518	400			466
*See pages 17-19 of the instructions for more information					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Started a recycling program for wood pallets and wood scrap.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g., Energy Star, Project XL).

# Section C

(continued)

## C.4 Performance Commitment 4

a. Use this table to report data related to your fourth performance commitment.

**Category** (see page 16 of the instructions): Accidental Releases

**Aspect** (see page 16 of the instructions): Vulnerability & Potential for Releases

	<i>Baseline (as stated in your application)</i>	<i>Year 1</i>	<i>Year 2</i>	<i>Year 3</i>	<i>Performance Commitment (the goal stated in your application)</i>
<i>Calendar Year</i>	1999	2001			2003
<i>Actual Quantity (per year)</i>	53,754	31,492			(optional)
<i>Measurement Units</i>	Pounds				
<i>Normalizing Factor*</i>	1.0	1.02			(optional)
<i>Basis for your Normalizing Factor*</i>	Tons of product shipped				
<i>Normalized Quantity* (per year)</i>	53,754	30,875			48,378
*See pages 15-17 of the instructions for more information					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Implemented purchasing controls in the departments responsible for the regulated materials.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g., Energy Star, Project XL).

## Section D

# Public Outreach and Performance Reporting

D.1

Please briefly summarize the public outreach and reporting activities that your facility has conducted during the year. Feel free, but not obligated, to attach supporting materials (e.g., meeting agendas, public announcements).

In 03/01 Mr. Tom Day was a guest speaker at an Environmental Symposium, topic was successful environmental management.

In 07/01 Heidelberg Dayton received a Pollution Prevention Award.

Dayton Daily News article concerning our P2 award.

Supplied information to Heidelberg corporate for Annual Environmental Report.

D.2

Please indicate which of the following methods your facility plans to use to make its Performance Track Annual Performance Report available to the public. Please check as many as are appropriate.

☐ Website (URL )

☐ Open House

☒ Meetings

☐ Press Releases

☐ Community Advisory Panel

☒ Other Provided information to Harrison Township and to Heidelberg corporate.

## Section E

### Self-Certification of Continued Program Participation

On behalf of Heidelberg Web Systems,  
(name of my facility)

I certify that

- ◆ I have read and agree to the terms and conditions specified in *the National Environmental Performance Track Program Guide*. This facility, to the best of my knowledge, continues to meet all program criteria;
- ◆ I have personally examined and am familiar with the information contained in this Annual Performance Report. The information contained in this report is, to the best of my knowledge and based on reasonable inquiry, true, accurate, and complete;
- ◆ My facility has an environmental management system (EMS), as defined in the Performance Track EMS criteria, including systems to maintain compliance with all applicable federal, state, tribal, and local environmental requirements in place at the facility, and the EMS will be maintained for the duration of the facility's participation in the program;
- ◆ My facility has conducted an objective assessment of its compliance with all applicable federal, state, tribal, and local environmental requirements; and the facility has corrected all identified instances of potential or actual noncompliance; and
- ◆ Based on the foregoing compliance assessments and subsequent corrective actions (if any were necessary), my facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with applicable federal, state, tribal, and local environmental requirements.

I agree that EPA's decision whether to accept participants into or remove them from the National Environmental Performance Track is wholly discretionary, and I waive any right that may exist under any law to challenge EPA's acceptance or removal decision.

I am the senior manager with responsibility for the facility and am fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is part of the National Environmental Performance Track program.

Signature/Date \_\_\_\_\_

Printed Name Mr./Mrs./Ms./Dr. Mr. Dick Long

Title V.P. Operations

Phone Number/E-mail Address 937 278 2651, Dick.Long@us.Heidelberg.com

Facility Name Heidelberg Web Systems

Facility Street Address 4900 Webster St., Dayton, Ohio 45414

Performance Track Identification Number A050002

### **Paperwork Reduction Act Notice**

The public reporting and recordkeeping burden for this collection of information is estimated to average 188 hours per respondent annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.